



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of: |) Group Art Unit: 2642 | | | |
|---------------------------------------|--|--|--|--|
| Carl Schoeneberger et al. |) Examiner: | | | |
| Serial No.: 10/632,649 | TRANSMITTAL LETTER | | | |
| Filed: July 31, 2003 |) 162 N. Wolfe Road) Sunnyvale, CA 94086 | | | |
| For: HIGH AVAILABILITY VOIP SUBSYSTEM |) (408) 530-9700) | | | |

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted, HAVERSTOCK & OWENS LLP

Customer No.: 28960

Dated: 11-21-03

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the data shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

Date: 1-21-0 By:



PATENT Attorney Docket No.: NUASI-00102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Carl Schoeneberger et al Serial No.: 10/632,649 Filed: July 31, 2003 HIGH AVAILABILITY VOIP For:

SUBSYSTEM

Examiner:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

Group Art Unit: 2642

Customer No. 28960

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- U.S. Patent No. 5,848,143;
- U.S. Patent No. 5,903,642;
- U.S. Patent No. 6,049,603;
- U.S. Patent No. 6,094,479;
- U.S. Patent No. 6,122,364; and
- U.S. Patent No. 6,542,475 B1.

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

hereby certify that this paper (along with any eferred to as being attached or enclosed) is being teposited with the U.S. Postal Service on the data hown below with sufficient postage as first class nail in an envelope addressed to the: Commissioner for Patento, P.O. Box 1450 Alexandria, VA 22313-1450

Date: 11-21-0 Ev:

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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 11-21-03

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

Attorney Docket No.: NUASI-00102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of: | Group Art Unit: 2642 |
|---|--|
| Carl Schoeneberger et al. | Examiner: |
| Serial No.: 10/632,649 | CERTIFICATION FOR SUPPLEMENTAL INFORMATION |
| Filed: July 31, 2003 | DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(e) |
| For: HIGH AVAILABILITY VOIP) SUBSYSTEM) | 162 North Wolfe Road Sunnyvale, CA 94086 |
|) (| (408) 530-9700 |
| } | Customer No. 28960 |

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited either within Official Actions mailed on November 05, 2003 and November 10, 2003. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2)

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

Date: 11-21-0 Ey:

hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the data shown below with sufficient postage as first class mail in an envelope addressed to the:

Dommissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

PATENT Attorney Docket No.: NUASI-00102

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted, HAVERSTOCK & OWENS LLP

Reg. No.: 32,571

Attorneys for Applicants

NOV 2 4 2003 FORM PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No.: NUASI-00102

Serial No.: 10/632,649

Applicant: Carl Schoeneberger et al.

INFORMATION DISCLOSUBE STATEMENT BY APPLICANT meets if Necessary) Group Art Unit: Filing Date: 7/31/03 (27 CED \$ 1 09/b))

| (37 CFR § 1.9 | 8(b)) | | | Filing Date: 7/31/03 | | Group Art Uni | <u>t:</u> | |
|----------------------|-------|---------------------------|------------------------|---|---------------------|---------------|-------------|------|
| | | | U.S | . PATENT DOCUMENTS | . , | | | |
| Examiner Initials | | Serial / Patent Number | Issue Date | Applicant / Patentee | Class | Subclass | Filing | Date |
| | AA | 5,848,143 | 12/08/98 | Andrews et al. | 379 | 219 | 03/04 | /96 |
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| | | | FOREIGN PATENTS OR F | UBLISHED FOREIGN PATENT APPL | LICATIONS | | | |
| | | Document | | | C) | Subclass | Translation | |
| | | Document Number | Publication Date | Country / Patent Office | Class | | Yes | No |
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| | | ОТНЕ | R DOCUMENTS (Including | g Author, Title, Date, Relevant Pages, Pl | ace of Publication) | | | |
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Date Considered: Examiner:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.